

PATENT
Docket No. 35103-018001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application)
)
 Applicant: Hauk, et al.) CERTIFICATE OF TRANSMISSION
) UNDER 37 C.F.R. §1.8 (a) and M.P.E.P. §512.
)
 Serial No.: 09/540,601)
) *I hereby certify that this AMENDMENT*
) *UNDER 37 C.F.R. §1.115 is being sent via*
) *facsimile transmission to the United States*
) *Patent and Trademark Office to fax number*
) *(703) 305-7687 on July 3, 2002.*
)
 For: VIRTUAL TRADING FLOOR)
 SYSTEM)
)
 Examiner: Richard Fults) *By:* _____
)
 Art Unit: 2768) *Signature:* _____
)

SECOND PRELIMINARY AMENDMENT

Via Facsimile (703) 305-7687

BOX NO FEE AMENDMENT
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants respectfully submit the following amendment to the above referenced patent application and request that the application be considered in view of the same.